

Application No. 10/590,591
Paper Dated November 20, 2006
Attorney Docket No. 0470-062554

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/590,591
Applicants : Jenneke Adriana Cadee et al.
Filed : August 24, 2006
Title : ANTIMICROBIAL LACTOFERRIN COMPOSITIONS FOR SURFACES, CAVITIES AND FOODSTUFF
Examiner : Not Yet Assigned Art Unit: Not Yet Assigned
Customer No. : 28289 Confirmation No.: Not Yet Assigned

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the requirements of 37 C.F.R. §1.56, §1.97, and §1.98, Applicants hereby submit this Information Disclosure Statement, which includes completed Forms PTO/SB/08a-b and one (1) copy of each reference cited therein, with the exception of the cited U.S. Patent documents.

This application is the United States national phase of PCT Application No. PCT/EP2004/001849, filed February 24, 2004. This Information Disclosure Statement lists the prior art references identified in the International Search Report (ISR) for the PCT application and the references are relevant for the reasons stated therein. A copy of the ISR is also enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Services as First Class Mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below.

Judy Eberle
(Name of Person Mailing Paper)

Judy Eberle
Signature

November 20, 2006
Date

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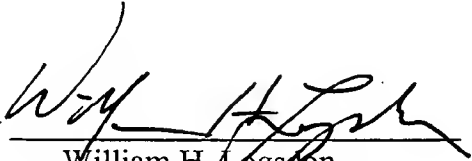
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Pursuant to 37 C.F.R. §1.97(b)(1), no fee is believed to be due for the submission of this Information Disclosure Statement, as it is being submitted within three (3) months of the filing date of the application. Nevertheless, the Commissioner for Patents is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650. One (1) original and two (2) copies of this Information Disclosure Statement are enclosed.

Respectfully submitted,

THE WEBB LAW FIRM

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/590,591
Filing Date	August 24, 2006
First Named Inventor	Jenneke Adriana Cadee
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	0470-062554

Sheet	1	of	2
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U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	4	WO 00/72874 A1	12/07/2000	Naidu		
	5	JP 09-235209	09/09/1997	Ueno, et al.		Abstract Only
Examiner Signature	/Nina Archie/		Date Considered	07/28/2010		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/590,591
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				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	0470-062554

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (hook, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	6	A. A. SALAMAH et al., "Effect of some physical and chemical factors on the bactericidal activity of human lactoferrin and transferrin against Yersinia pseudotuberculosis"; MICROBIOLOGICA, vol. 18, no. 3, 1995, pages 275-281; XP002255992; Chemical Abstracts Service, Columbus, Ohio; Abstract only.	
	7	H. A. HANNA et al., "Lactoferrin (LF) and EDTA synergistic activity: An alternative antimicrobial catheter lock solution"; ABSTRACTS OF THE INTERSCIENCE CONFERENCE ON ANTIMICROBIAL AGENTS, Vol. 42, 2002, Page 164; XP002255993; Biosciences Information Service, Philadelphia, PA, Abstract only.	
	8	M.O'D. LASSITER, "Ethylenediaminetetraacetate (EDTA): influence on the antimicrobial activity of human lactoferrin against escherichia coli and streptococcus mutans"; UNIV. MICROFILMS INT., Order No. DA9113995; From: Diss. Abstr. Int. B 1991, 51 (12, Pt. 1), 5709, 1990, pages 1-196; XP002255994; Chemical Abstracts Service, Columbus, Ohio.	
	9	D. A. DIONYSIUS, et al., "Forms of lactoferrin: Their antibacterial effect on enterotoxigenic Escherichia coli"; JOURNAL OF DAIRY SCIENCE, vol. 76, no. 9, 1993, pages 2597-2606; XP002255995; Biosciences Information Service, Philadelphia, PA, Abstract only.	
	10	H. NIKAWA, et al., "The fungicidal effect of human lactoferrin on Candida albicans and Candida krusei"; ARCHIVES OF ORAL BIOLOGY, vol. 38, no. 12, 1993, pages 1057-1063; XP002255996; Biosciences Information Service, Philadelphia, PA, Abstract only.	
	11	B. J. NONNECKE, et al., "Inhibition of mastitic bacteria by bovine milk apo lactoferrin evaluated by in-vitro micro assay of bacterial growth"; JOURNAL OF DAIRY SCIENCE, vol. 67, no. 3, 1984, pages 606-613; XP002255997; Biosciences Information Service, Philadelphia, PA, Abstract only.	
	12	C. A. BORTNER, et al., "Bactericidal effect of lactoferrin on legionella-pneumophila"; INFECTION AND IMMUNITY, vol. 51, no. 2, 1986, pages 373-377; XP002255998; Biosciences Information Service, Philadelphia, PA, Abstract only.	
	13	P. VISCA et al., "Growth and adsorption of streptococcus-mutans 6715-13 to hydroxyapatite in the presence of lactoferrin"; MEDICAL MICROBIOLOGY AND IMMUNOLOGY, vol. 178, no. 2, 1989, pages 69-80; XP002255999; Biosciences Information Service, Philadelphia, PA, Abstract only.	
	14	S. MIRZA, et al., "Bactericidal effects of lactoferrin on Streptococcus pneumoniae"; ABSTRACTS OF THE GENERAL MEETING OF THE AMERICAN SOCIETY FOR MICROBIOLOGY, vol. 101, 2001, page 142, 101 st General meeting of the American Society for Microbiology; Orlando, FL, May 20-24, 2001; XP002256000; Biosciences Information Service, Philadelphia, PA, Abstract only.	

Examiner Signature	/Nina Archie/	Date Considered	07/28/2010
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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